Account Opening Form
Correspondent Banking Relationship

Date:

Section I – General Details		
1	Legal Name	
2	SWIFT Address , Web Address	
3	Primary line of business	
4	Address of principal place of business (Address)	
5	Phone numbers at the principal place of business	
6	Date & country of Incorporation of the Bank	
7	Licensing regulator and country	
8	Credit Rating (as per S & P/ Moody / Fitch) (If not rate pl. reply as "Not Rated" only.)	
9	Balance sheet size (Total assets in USD as on _____)	
10	World Rank (as per bankers almanac) (if available)	
11	Country Rank (as per bankers almanac) (if available)	
12	Broad Ownership Pattern (in %age of total shares)	
13	Legal constitution of your Bank (Viz.. Public Ltd. / Private Ltd. etc.)	
14	Is your Bank a publicly traded entity, i.e. is it traded on a stock exchange? If listed, please provide names of the Stock Exchanges	

15	Officer Responsible for Correspondent A/C Relationship & a secondary contact i. Name ii. Designation iii. Address iv. Contact details: v. Telephone number, vi. Fax number vii. e-mail address	
16	Purpose of opening of account *(Please provide the nature of transactions to be routed through this account)*	

Enclosures:

a) Authorized Signatory Booklet
b) Standard Settlement Instructions including SWIFT codes of these accounts
c) Certified copy of Banking License
d) Copy of Anti Money Laundering and KYC policy of MCB
e) Latest annual report
f) Accepted true copy of the tariff card attached

Section II : Information on your Anti Money Laundering (AML) standards *(Pl. Answer in Yes/ No only. Any other information can be provided in addition to the same.)*		
1	Is your organization subject to laws designed to combat money laundering in your home country? If yes, state the reference no. of the relevant law/ regulation of the country/ regulator.	Yes / No
2	Are AML Policies and procedures at your Bank, including "your overseas operations through agencies, branches or subsidiaries", compliant with applicable AML laws and regulations?	Yes / No
3	Is your bank a shell bank?	Yes / No
4	Does your bank engage/intend to engage in business with Shell Banks?	Yes / No
5	Does your AML policy require disclosure of suspicious transactions to a national/criminal intelligence service/other external authority?	Yes / No
6	Does your AML policy require periodic AML training for the staff?	Yes / No
7	Does your organization maintain all relevant document and information in respect of customer identity and transaction records?	Yes / No

8	Does the policy clearly define roles, responsibilities and accountability of each staff in relation to anti-money laundering compliance?	Yes / No
9	Do AML procedures of your bank require the verification of identification data for all customers?	Yes / No
10	Does your bank conduct transactions for non-established customers (such as walk in customers – for example sale of monetary instruments (pay order/demand draft) or wire transfers? *If yes, please advise the monetary limits observed if any and whether customers are identified and verified for such transactions.*	Yes / No
11	Does your institution have an established audit and compliance review function to test the adequacy of anti-money laundering policies and procedures?	Yes / No
12	Has your bank / parent organization / holding company been prosecuted or fined by your regulators for failure to comply with stipulated Anti Money Laundering laws and regulations in last five years? If yes, please provide details in brief.	Yes / No
13	Is any of your bank's owner or senior management a politically exposed person (PEP) as defined by FATF? If yes, please provide following additional information : (In case, more than 1 person please attach separate sheet)	Yes / No
	Full Name :-	
	Address :-	
	Date and place of birth :-	
	Designation in the bank (Executive/ Non-executive) :-	
	Percentage shareholding in the bank :-	
	PEP Information as defined by FATF :-	
14	Has your Bank put in place procedures to verify originator identity information (account/identification number, name & address) and capture the same in wire transfer advice while originating the same; in line with the Wire Transfer Guidelines contained in Special Recommendation VII of Financial Action Task Force (FATF?	Yes / No
15	Does your institution maintain anonymous accounts?	Yes / No
16	Please advise if any of your owners or members of senior	Yes / No

	management have adverse criminal/ regulatory history against them? *If so, please advise details.*	
17	Does your bank allow direct use of the correspondent account by third parties (payable-through account) to transact business on their own? *If so, please advise the due diligence conducted and AML controls on such third parties.*	Yes / No
18	Will this account be used for extending sub-correspondent banking activities to other banks by you directly? *If so, please advise the policies for AML control for enrolling such banks by you.*	Yes / No

Section III – Ownership and Management Information

A. Details of ownership

Owners of the Bank i.e. any person or legal entity that directly or indirectly, owns, controls or has voting power of 5% or more of any class of securities of your Bank. The ownership percentage and nature of ownership (i.e. direct / indirect) should be specified. List to be provided.

Name of person/entity	% holding	Nature of Ownership - direct/indirect	Date of birth/Age	Nationality
In case of legal entities as shareholders, owners of legal entity to be disclosed till ultimate shareholders are identified.				

B. Details of Senior Management

Provide details of senior management; i.e. principal officers, directors of your Bank.

Name	Position (Executive or Non executive)	No. of years of Service.	Date of birth/Age	Nationality

Declaration

We hereby declare that on your request we would provide the relevant customer identification data as required by you for any regulatory requirement imposed upon you. We also undertake to provide the above information as required by you on a periodic basis.

(Authorized Signatory)
Name and signatory number with seal / stamp of the bank

Regulatory Guidelines for Rupee Accounts of Non-Resident Banks

1. Transactions for speculative purposes are not permitted.
2. Forward purchase or sale of foreign currencies against Rupees for funding is prohibited.
3. Offer of two-way quotes in Rupees to non-resident banks is prohibited.
4. Transfer of funds between the accounts of the same bank or different banks is freely permitted.
5. Balances held in Rupee accounts may be freely converted into foreign currency.

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