

**CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS**  
**[OMB Control Number 1506-0043]**

*The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).*

*This Certification should be completed by any foreign bank that maintains a correspondent account with any U.S. bank or U.S. broker-dealer in securities (a covered financial institution as defined in 31 CFR 1010.605(e)). An entity that is not a foreign bank is not required to complete this Certification.*

*A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 CFR 1010.100(u)). A bank includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 CFR 1010.100(d))<sup>1</sup>.*

*A **Correspondent Account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.*

*Special instruction for foreign branches of U.S. banks: A branch or office of a U.S. bank outside the United States is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.*

*Special instruction for covering multiple branches on a single Certification: A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for each branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.*

**A.** The undersigned financial institution, Malayan Banking Berhad and its foreign branches and subsidiaries as listed in Appendix 1 (collectively, "the Maybank entities") hereby certify as follows:

**B. Correspondent Accounts Covered by this Certification**

This Certification applies to all accounts established for the Maybank entities by Covered Financial Institutions.

**C. Physical Presence/Regulated Affiliate Status**

The Maybank entities maintain a physical presence and are regulated entities. This means that each of the entity:

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<sup>1</sup> A "foreign bank" does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

- Has a place of business at the address set forth in Appendix 1, where it employs one or more individuals on a full-time basis and maintains operating records related to its banking activities;
- Is authorized to conduct banking activities in the country where its operations is located as set forth in Appendix 1; and
- Is subject to inspection by the supervisory authority(ies) set forth in Appendix 1, that licensed the Maybank entity to conduct banking activities.

#### **D. Indirect Use of Correspondent Accounts**

No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. The Maybank entities hereby certify that they do not use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

#### **E. Ownership Information**

The Maybank entities are owned by Malayan Banking Berhad as set forth below, whose shares are publicly traded. Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).

<b>Name</b>	<b>Address</b>
Malayan Banking Berhad	14 <sup>th</sup> Floor Menara Maybank, 100 Jalan Tun Perak, 50050 Kuala Lumpur, Malaysia

#### **F. Process Agent**

Malayan Banking Berhad - New York Branch is a resident of the United States at the following street address: 11th Floor, 400 Park Avenue, New York NY 10022 and is authorized to accept service of legal process on behalf of Foreign Bank from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

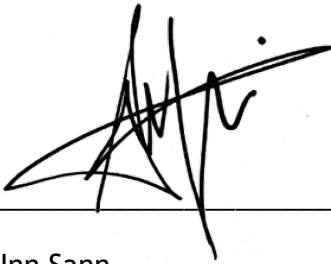
#### **G. General**

The Maybank entities hereby agree to notify, in writing, each Covered Financial Institution at which they maintain any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

The Maybank entities understand that each Covered Financial Institution at which they maintain a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States.

The Maybank entities further understand that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, Yiow Inn Sann, certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of the Maybank entities.



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Yiow Inn Sann  
Head, Group Financial Crime Compliance  
Malayan Banking Berhad  
Executed on February 13, 2023

**Appendix 1**

<b>Entity Name</b>	<b>Address</b>	<b>Country</b>	<b>Supervisory Authority</b>
Malayan Banking Berhad	14 <sup>th</sup> Floor Menara Maybank, 100 Jalan Tun Perak, 50500 Kuala Lumpur	Malaysia	1) Bank Negara Malaysia
Maybank Islamic Berhad	Level 10 Tower A Dataran Maybank, No. 1 Jalan Maarof, 59000 Kuala Lumpur	Malaysia	1) Bank Negara Malaysia
Maybank Investment Bank Berhad	33 <sup>rd</sup> Floor Menara Maybank, 100 Jalan Tun Perak, 50050 Kuala Lumpur	Malaysia	1) Bank Negara Malaysia 2) Securities Commission Malaysia 3) Bursa Malaysia
Maybank Asset Management Sdn Bhd	Level 12 Tower C Dataran Maybank, No. 1 Jalan Maarof, 59000 Kuala Lumpur	Malaysia	1) Securities Commission Malaysia
Maybank Islamic Asset Management Sdn. Bhd	Level 12 Tower C Dataran Maybank, No. 1 Jalan Maarof, 59000 Kuala Lumpur	Malaysia	1) Securities Commission Malaysia
Maybank International Labuan Branch	16 <sup>th</sup> Floor (B), Main Office Tower, Financial Park Complex, Jalan Merdeka, 87000 Federal Territory of Labuan	Malaysia	1) Labuan Financial Services Authority 2) Bank Negara Malaysia
Maybank Trustees Berhad	8 <sup>th</sup> Floor, Menara Maybank, Jalan Tun Perak, 50050 Kuala Lumpur	Malaysia	1) Securities Commission Malaysia 2) Bank Negara Malaysia
Malayan Banking Berhad - Brunei Branch	Unit 5-8, Simpang 22, Jalan Dato Ratna Kiarong Sentral, Kg Kiarong	Brunei Darussalam	1) Brunei Darussalam Central Bank
Maybank (Cambodia) Plc.	Maybank Tower Cambodia 43 Preah Norodom Boulevard, Sangkat Phsar Thmey III, Khan Daun Penh	Cambodia	1) National Bank of Cambodia
Malayan Banking Berhad - Shanghai Branch	Room 03-04, 6th Floor, BRICS Tower, No. 333 Lujiazui Ring Road, Pudong New District, Shanghai 200120	China	1) China Banking Regulatory Commission 2) People's Bank of China
Malayan Banking Berhad - Beijing Branch	32 <sup>nd</sup> Floor, China World Tower, No. 1, Jianguomenwai Avenue Beijing 100004	China	1) China Banking Regulatory Commission 2) People's Bank of China

Entity Name	Address	Country	Supervisory Authority
Malayan Banking Berhad - Kunming Branch	Unit 3-4, 23rd Floor, Master Business Center No. 1, Chongren Street Kunming, 650021 Yunnan	China	1) China Banking Regulatory Commission 2) People's Bank of China
Malayan Banking Berhad - Shenzhen Branch	Unit 01,07-08,37/F, AVIC Center, No.1018 Huafu Road Futian District, Shenzhen, Guangdong	China	1) China Banking Regulatory Commission 2) People's Bank of China
Malayan Banking Berhad - Hong Kong Branch	Level 29, Lee Garden Three, 1 Sunning Road, Causeway Bay	Hong Kong	1) Hong Kong Monetary Authority
MIB Securities (Hong Kong) Limited	Level 28, Lee Garden Three, 1 Sunning Road, Causeway Bay	Hong Kong	1) Hong Kong Securities and Futures Commission
MIB Securities India Private Limited	Unit No. 1101, 11th Floor, A Wing, Kanakia Wall Street, Chakala, Andheri (E) Mumbai 400093	India	1) Securities And Exchange Board of India 2) Reserve Bank of India
PT Bank Maybank Indonesia Tbk	Gedung Sentral Senayan 3, 26 <sup>th</sup> Floor Jl. Asia Afrika No. 8, Senayan Gelora Bung Karno, Jakarta 10270	Indonesia	1) Otoritas Jasa Keuangan 2) Bank Indonesia
PT Bank Maybank Syariah Indonesia	17th Floor, Sona Topas Tower, Jalan Jenderal Sudirman Kav-26 Jakarta 12920	Indonesia	1) Otoritas Jasa Keuangan 2) Bank Indonesia
PT Maybank Sekuritas Indonesia	Gedung Sentral Senayan 3, 22 <sup>nd</sup> Floor Jl. Asia Afrika No. 8, Senayan Gelora Bung Karno, Jakarta 10270	Indonesia	1) Otoritas Jasa Keuangan 2) Indonesia Stock Exchange 3) Indonesia Central Securities Depository 4) Indonesia Clearing & Guarantee Corporation
PT Maybank Asset Management	Gedung Sentral Senayan 3, Mezzanine Floor Jl. Asia Afrika No. 8, Senayan Gelora Bung Karno, Jakarta 10270	Indonesia	1) Otoritas Jasa Keuangan
Malayan Banking Berhad - Lao Branch	Lot 43, 45 & 47, Lane Xang Avenue Hatsady Village, Chanthabouly District P.O. Box 1663, Vientiane	Lao People's Democratic Republic	1) Bank of Lao PDR
Malayan Banking Berhad - Yangon Branch	7 <sup>th</sup> Floor Centralpoint Towers, No 65, Corner of Sule Pagoda Road &	Myanmar	1) Central Bank of Myanmar

Entity Name	Address	Country	Supervisory Authority
	Merchant St Kyauktada Township, Yangon		
Maybank Philippines Incorporated	7 <sup>th</sup> Avenue Corner 28 <sup>th</sup> Street, Bonifacio High Street Central, Bonifacia Global City, Taguig City, Metro Manila	Philippines	1) Bangko Sentral ng Pilipinas
Maybank Securities, Inc.	17/F Tower One & Exchange Plaza, Ayala Triangle, Ayala Avenue, Makati City	Philippines	1) Securities & Exchange Commission 2) Capital Markets Integrity Corporation 3) Philippines Stock Exchange
Malayan Banking Berhad - Singapore Branch	2 Battery Road, Maybank Tower 049907	Singapore	1) Monetary Authority of Singapore
Maybank Singapore Limited	2 Battery Road, Maybank Tower 049907	Singapore	1) Monetary Authority of Singapore
Maybank Securities Pte. Ltd.	50, North Canal Road, #03-01, Singapore 059304	Singapore	1) Monetary Authority of Singapore 2) Singapore Stock Exchange
Maybank Asset Management Singapore Pte. Ltd	2 Battery Road, Maybank Tower 049907	Singapore	1) Monetary Authority of Singapore
Maybank Securities (Thailand) Public Company Limited	The Offices at Central World, 20 <sup>th</sup> - 21 <sup>st</sup> Floor, 999/9 Rama 1 Road, Pathumwan, Bangkok 10330	Thailand	1) Securities and Exchange Commission 2) The Stock Exchange of Thailand
Maybank Islamic Berhad - Dubai -DIFC Branch	Unit 310, Level 3 Gate Precinct Building 3 Dubai International Financial Centre P.O. Box 506507 Dubai	United Arab Emirates	1) Dubai Financial Services Authority
Malayan Banking Berhad - London Branch	PNB House, 77 Queen Victoria Street, London, EC4V 4AY	United Kingdom	1) Prudential Regulator Authority 2) Financial Conduct Authority
Maybank Securities (London) Ltd.	PNB House, 77 Queen Victoria Street, London, EC4V 4AY	United Kingdom	1) Financial Conduct Authority
Malayan Banking Berhad - Hanoi Branch	Suite 909, 9th Floor Corner Stone Building, 16 Phan Chu Trinh, Hoan Kiem District Hanoi	Vietnam	1) State Bank of Vietnam
Malayan Banking Berhad - Ho Chi Minh City Branch	9th Floor, Sun Wah Tower 115, Nguyen Hue Street	Vietnam	1) State Bank of Vietnam

Entity Name	Address	Country	Supervisory Authority
	District 1, Ho Chi Minh City		
Maybank Securities Limited	4A Fl-15+16, Vincom Center Dong Khoi, 72 Le Thanh Ton Street, Ben Nghe Ward, Dist.1, Ho Chi Minh City	Vietnam	1) State Securities Commission of Vietnam 2) Vietnam Securities Depository 3) Hanoi Stock Exchange 4) Ho Chi Minh Stock Exchange