

# ACCOUNT OPENING FORM

- Notes**
- Investors are advised to read the Information Memorandum / Prospectus and Important Notes (see overleaf) before completing this form.
  - In accordance with the requirements of the Capital Market & Services Act 2007, this Account Opening Form must be accompanied by the respective Information Memorandum / Prospectus.
  - Please complete this form in BLOCK LETTERS and  Tick Box where appropriate.

**ACCOUNT TYPE**  Individual  Joint  Company / Institution  Others, please specify \_\_\_\_\_

**FUND DETAILS**  
 Name of Fund: \_\_\_\_\_  
 Date of Information Memorandum / Prospectus: \_\_\_\_/\_\_\_\_/\_\_\_\_ Expiry date of Prospectus: \_\_\_\_/\_\_\_\_/\_\_\_\_

**PARTICULARS OF FIRST APPLICANT**

Full Name (as per NRIC / Passport): \_\_\_\_\_  
 Title (eg. Tan Sri / Dato' / Datin / Mr / Mrs / En / Pn): \_\_\_\_\_ Race:  Bumiputera Gender:  Male  
 Non-Bumiputera  Female  
 NRIC No. / Passport No.: \_\_\_\_\_ Nationality:  Malaysian  Others \_\_\_\_\_  
 Date of Birth: \_\_\_\_/\_\_\_\_/\_\_\_\_ Employment:  Employed  Self Employed: please state nature of self-employment \_\_\_\_\_  
 Retiree  Housewife  Others \_\_\_\_\_  
 Company: \_\_\_\_\_  
 Designation: \_\_\_\_\_ Years of Service: \_\_\_\_  
 Annual Income:  < RM150,000  RM150,000 - RM299,999  RM300,000 - RM499,999  > RM500,000  
 Sources of Wealth for Investment:  Personal Savings  Business Income  Inheritance  
 Investments  Others, please specify \_\_\_\_\_

**PARTICULARS OF JOINT APPLICANT (IF APPLICABLE)**

Full Name (as per NRIC / Passport): \_\_\_\_\_  
 Title (eg. Tan Sri / Dato' / Datin / Mr / Mrs / En / Pn): \_\_\_\_\_ Nationality:  Malaysian  Others \_\_\_\_\_  
 Gender:  Male  Female  
 NRIC No. / Passport No.: \_\_\_\_\_ Date of Birth: \_\_\_\_/\_\_\_\_/\_\_\_\_

**AUTHORITY TO OPERATE ACCOUNT (FOR JOINT HOLDER ONLY)**  Either one to sign, OR  Both to sign

**PARTICULARS OF COMPANY / INSTITUTION**

Name of Company / Institution (as per Certificate of Incorporation): \_\_\_\_\_  
 Business Registration / Certificate of Incorporation No.: \_\_\_\_\_ Nature of Entity:  Company  Trust  Partnership  Yayasan or Charity  
 Sole Proprietorship  Others, please specify \_\_\_\_\_  
 Nature of Business: \_\_\_\_\_

**CORRESPONDENCE ADDRESS**

Address: \_\_\_\_\_  
 Tel No.: House \_\_\_\_\_ Office \_\_\_\_\_ Mobile \_\_\_\_\_  
 Fax No.: \_\_\_\_\_ Email: \_\_\_\_\_

**DISTRIBUTION INSTRUCTION**

Reinvestment  Cheque  To Credit to Bank: \_\_\_\_\_  
 Account No. \_\_\_\_\_

**DECLARATION**

- By Signing this Account Opening Form,  
 1. All the information given above is true, complete and correct;  
 2. I/We am/are not an undischarged bankrupt;  
 3. All monies and other properties being injected are clean and clear from any money laundering activities by virtue of the provisions of Anti-Money Laundering and Anti-Terrorism Financing Act 2001;  
 4. I/We confirm that I/We have read and understood the contents of the Information Memorandum / Prospectus on becoming a unit holder and agree to be bound by the terms and conditions as stated therein;  
 5. I/We am/are aware that there are fees and charges that will be incurred directly or indirectly when investing in the Fund;  
 6. I/We hereby confirm that I am/We are a "qualified investor"\*\*.

I / We hereby undertake to inform Maybank Asset Management Sdn. Bhd. in writing as soon as possible of any material variation to the information provided in this Account Opening Form.

\*\*Applicable to wholesale fund investor only.  
 Please see overleaf for the definition of a "qualified investor"

\_\_\_\_\_  
 Signature of First Applicant /  
 Authorised Signatory  
 Name:  
 Date:

Affix Seal or Company Stamp Here  
 for Company / Institutions.

\_\_\_\_\_  
 Signature of Joint Applicant /  
 Authorised Signatory  
 Name:  
 Date:

**IMPORTANT NOTES**

**1. PRE-QUALIFICATION DECLARATION**

Only a qualified investor may invest in a Wholesale Fund. The Securities Commission's Guidelines on Wholesale Fund defines a qualified investor as:

- a) An individual whose total net personal assets exceed RM3.0 million or its equivalent in foreign currencies;
- b) A corporation with total net assets exceeding RM10.0 million or its equivalent in foreign currencies based on the last audited accounts;
- c) A unit trust scheme or prescribed investment scheme;
- d) A company registered as a trust company under the Trust Companies Act 1949 which has discretion in the investment of trust assets of a trust with total net assets exceeding RM10 million or its equivalent in foreign currencies;
- e) A corporation that is a public company under the Companies Act 1965 or under the laws of any other country, which has been allowed by the SC to be a trustee for the purposes of the CMSA and has discretion in the investment of trust assets of a trust with total net assets exceeding RM10 million or its equivalent in foreign currencies;
- f) A pension fund approved by the Director General of Inland Revenue under section 150 of the Income Tax Act 1967;
- g) A holder of a Capital Markets Services License carrying on the business of dealing in securities;
- h) Any other fund manager;
- i) A person who acquires securities pursuant to an offer, as principal, if the aggregate consideration for the acquisition is not less than RM250,000 or its equivalent in foreign currencies for each acquisition;
- j) A licensed institution;
- k) An Islamic bank; and
- l) An insurance company licensed under the Insurance Act 1996.

**2. DOCUMENTS REQUIRED FOR ACCOUNT OPENING**

The following documents must be submitted together with this form. The Manager may request for additional documents (where applicable) :-

GENERAL DOCUMENT(S) REQUIRED						
Type of Account	Certified true copy of NRIC or Passport	Board Resolution (including specimen signatures) and list of authorized signatories or authorization for any person(s) to represent/open/operate on behalf of the organization	Certificate of Incorporation/ Certificate of Registration/ Business Registration Certificate/ Relevant Constituent Documents or other similar documents	Duly certified true copy of latest Form 9, 24 & 49	Duly certified true copy of a Memorandum and Articles of Association or Constitution or By Laws	Bank statements (only client's name and address are required, not the amount)
Individual / Joint	√					√
Company / Institutions	√	√	√	√	√	√
Partnership	√	√	√			√
Sole Proprietor	√		√			√
Club / Society / Charities	√	√	√		√	√
Others	√ (Relevant documents to be identified by the Manager)					

I) For company, all documents to be certified true copy by the company secretary (NRIC of directors and authorised signatories to be certified true copy / original sighted by company secretary).

II) Exemption for directors / shareholders / partner's identification documents:

- Public listed companies subjected to regulatory disclosure
- Government linked companies
- FIs licensed under Islamic Banking Act 1983, Takaful Act 1984, BAFIA 1989, Insurance Act 1996, SC or LOFSA
- FIs prescribed under Development Financial Institutions Act 2002

**FOR MALAYAN BANKING BHD / DISTRIBUTION PARTNER**

**FOR MAYBANK ASSET MANAGEMENT SDN. BHD.**

Customer GCIF No: \_\_\_\_\_  
Submitted and confirmed by:

**CUSTOMER DUE DILIGENCE CHECK**  
For Maybank Asset Management Sdn. Bhd.

**ENHANCED CUSTOMER DUE DILIGENCE**  
Approval by:

\_\_\_\_\_  
Name:  
Originating Centre / Branch:  
Date:

\_\_\_\_\_  
Name:  
Date:

\_\_\_\_\_  
Name:  
Date: